

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2817)
In re Application of:) ELECTRONIC TUNABLE FILTERS
YONGFEI ZHU, ET AL.) WITH DIELECTRIC VARACTORS
Serial No.: 09/734,969)
Filed: December 12, 2000) Attorney Docket No. 283014-00025

INFORMATION DISCLOSURE STATEMENT

February 22, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to the provisions of 37 CFR Sections 1.56, 1.97 and 1.98, Applicants submit herewith copies of the prior art documents cited on the attached Form PTO/SB/08A for consideration during prosecution of the subject Application.

This Statement is filed solely for the purpose of complying with the pertinent rules of the Office and is not intended to be a substitute for an independent evaluation by the Examiner of the art cited or an independent search by the Examiner, and no representation of any nature is made or intended by the filing of this Statement.

In addition to the art cited on Form PTO/SB/08A, Applicants and/or their attorneys may have been exposed to or considered additional art relating to the general class of the subject matter of the invention. However, if in fact such exposure or consideration has occurred, to the best of their recall or judgment, none of such art is prior art which is more relevant than the art cited.

Respectfully submitted,

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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE				Application Number	09/734,969
STATEMENT BY APPLICANT				Filing Date	December 12, 2000
(use as many sheets as necessary)				First Named Inventor	Yongfei Zhu
Sheet	1	of	2	Group Art Unit	2817
				Examiner Name	N/A
				Attorney Docket Number	283014-00025

U.S. PATENT DOCUMENTS						
Examiner Initials'	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
A	4,431,977			Sokola et al.	02/14/1984	
B	4,620,168			Delestre et al.	10/28/1986	
C	5,173,835			Cornett et al.	12/22/1992	
D	5,283,462			Stengel	02/01/1994	
E	5,312,790			Sengupta et al.	05/17/1994	
F	5,427,988			Sengupta et al.	06/27/1995	
G	5,486,491			Sengupta et al.	01/23/1996	
H	5,495,215			Newell et al.	02/27/1996	
I	5,614,875			Jang et al.	03/25/1997	
J	5,635,433			Sengupta	06/03/1997	
K	5,635,434			Sengupta	06/03/1997	
L	5,693,429			Sengupta et al.	12/02/1997	
M	5,766,697			Sengupta et al.	06/16/1998	
N	5,830,591			Sengupta et al.	11/03/1998	
O	5,846,893			Sengupta et al.	12/08/1998	
P	5,990,766			Zhang et al.	11/23/1999	

FOREIGN PATENT DOCUMENTS								
Examiner Initials'	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁴
		Office ³	Number ⁴	Kind Code ² (if known)				
Q	WO	WO	98/20606		Superconducting Core Technologies, Inc.			

Examiner Signature		Date Considered	
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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				Application Number	09/734,969
				Filing Date	December 12, 2000
				First Named Inventor	Yongfei Zhu
				Group Art Unit	2817
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Sheet	2	of	2	Attorney Docket Number	283014-00025

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In re Application of: :
YONGFEI ZHU ET AL. : ELECTRONIC TUNABLE FILTERS
Serial No.: 09/734,969 : WITH DIELECTRIC VARACTORS
Filed: December 12, 2000 :
Group Art Unit: 2817 : Attorney Docket No. 283014-00025

**SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT**

May 28, 2002

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to the provisions of 37 CFR Sections 1.56, 1.97 and 1.98, Applicants submit herewith copies of the references cited on the attached Forms PTO/SB/08A and PTO/SB/08B for consideration during prosecution of this application.

This Statement is filed solely for the purpose of complying with the pertinent rules of the Office and is not intended to be a substitute for an independent evaluation by the Examiner of the art cited or an independent search by the Examiner, and no representation of any nature is made or intended by the filing of this Statement.

Each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Japanese Published Patent Applications JP 62110301 and JP 10135708 are not in the English language. The abstract of JP 62110301 was cited as a Category "Y" reference in an International Search Report with respect to claim 7 of PCT application PCT/US01/43571 (which is the PCT counterpart to the subject application). Claim 7 of application PCT/US01/43571 is the same as claim 7 of the subject application. The abstract of JP 10135708 was cited as a Category "Y" reference in the International Search Report with respect to claims 8 and 9 of PCT application PCT/US01/43571. Claims 8 and 9 of

application PCT/US01/43571 are the same as claims 8 and 9 of the subject application. A copy of the International Search Report is enclosed.

Respectfully submitted,

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				Application Number	09/734,969
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				First Named Inventor	Yongfei Zhu
				Group Art Unit	2817
				Examiner Name	Stephen E. Jones
Sheet	1	of	1	Attorney Docket Number	283014-00025

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		Office ³	Number ⁴	Kind Code ⁵ (if known)			
		JP	62110301	A	Matsushita Electric Ind. Co.	05-21-1987	
		JP	10135708	A	Kyocera Corp.	05-22-1998	
		EP	0843374	A	Sharp Kabushiki Kaisha	05-20-1998	
		WO	00/35042	A	Paratek Microwave, Inc.	06-15-2000	

Examiner Signature		Date Considered	
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				Application Number	09/734,969
				Filing Date	12/12/00
				First Named Inventor	Yongfei Zhu
				Group Art Unit	2817
				Examiner Name	Stephen E. Jones
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